

Single Assurance Framework Overview

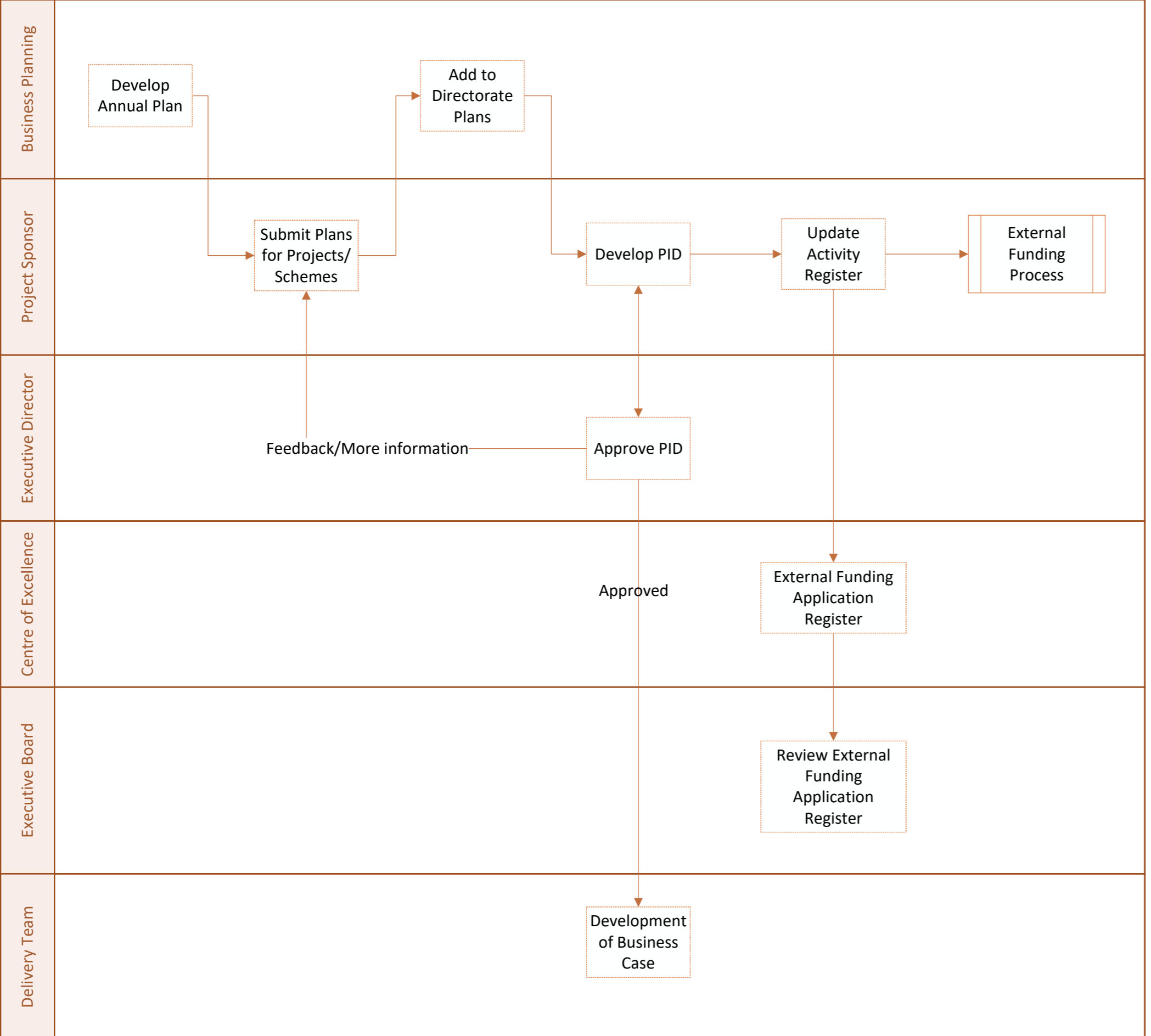
Stages



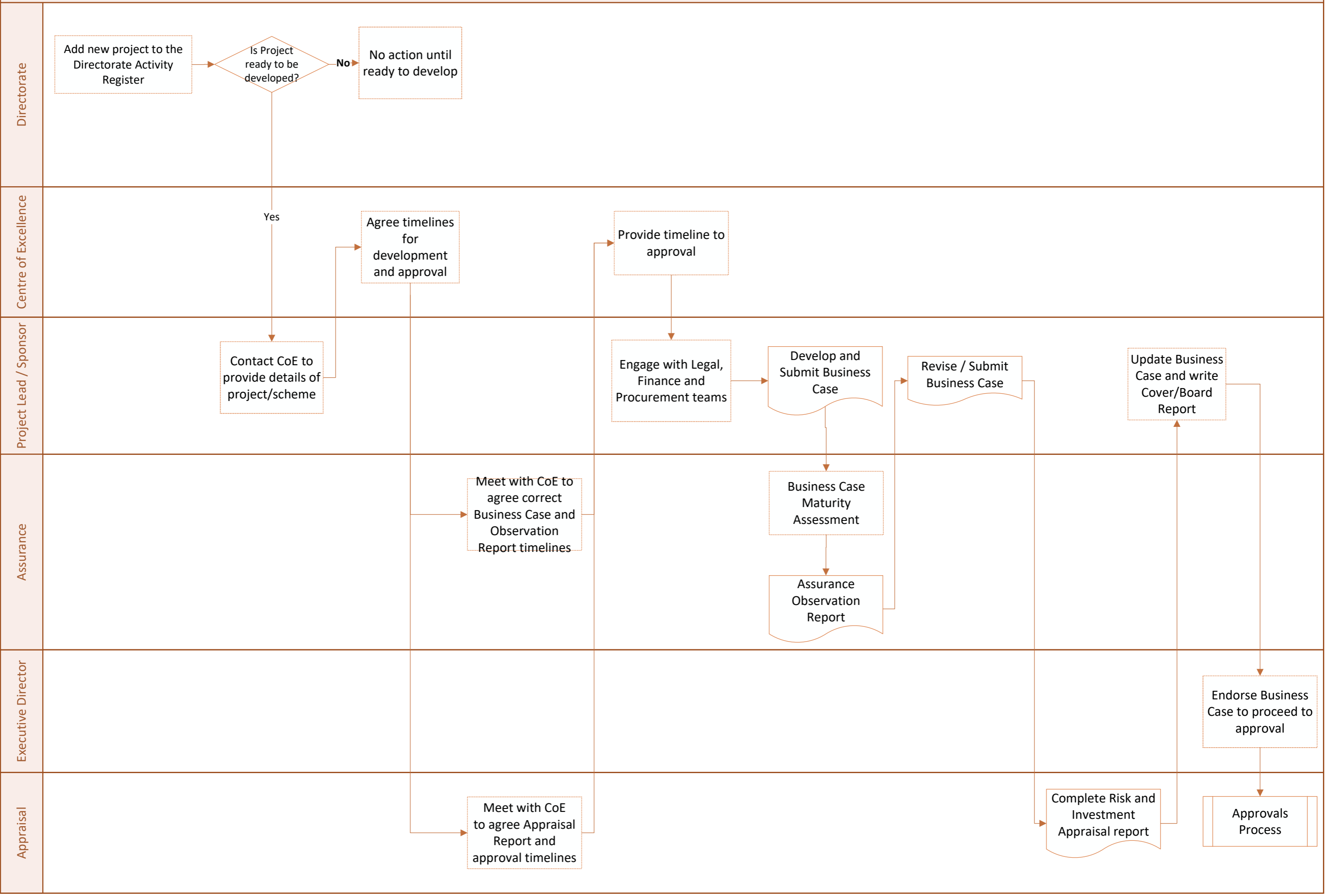
Corporate Governance Flowchart

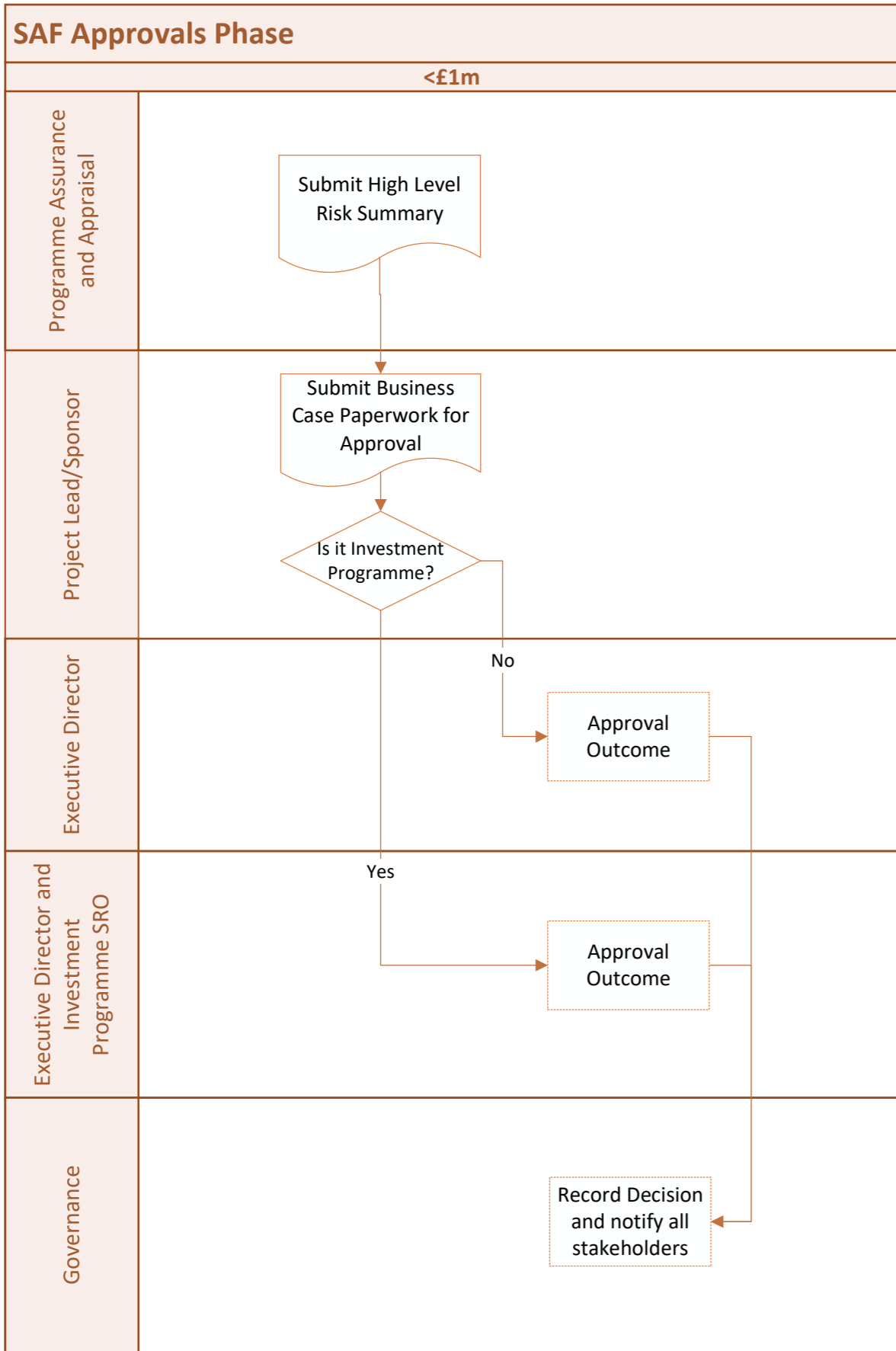
	1. Concept	2. Development	3. Delivery	4. Closure
High-Level Process Flow	<pre> graph TD A[Define Concept / Idea (Bid / Funding Offer)] --> B{Does it meet Corporate Aims & Objectives?} B -- No --> A B -- Yes --> C{Is it Budgeted / Funded?} C -- No --> A C -- Yes --> D{Go / No-Go} D -- No --> A D -- Yes --> E[Project] D -- Yes --> F[Yes (Delegated to SRO)] G[External Funding Process] --> D </pre>	<pre> graph TD BAU[BAU] --> CP[Corporate Process] Project[Project] --> CP Project --> SAF[SAF Process] </pre>	<pre> graph TD OS[Operational Services & Contract Management] --> Execution[Execution] MP[Monitoring: Performance & Financial] --> Execution Execution --> ME[M&E] </pre>	<pre> graph TD ME[M&E] --> EL[Lessons Learned] OS --> EOY[Evaluation: End of Year Activities] MP --> EOY </pre>
Footnotes	<p>Each Directorate develops an Annual Business Plan, based on the CA's aims and objectives.</p> <p>https://beta.wmca.org.uk/what-we-do</p>	<p>Considerations include: Annual Business Plan, Financial Regulations, Delegations and Procurement Regulations and the Constitution.</p> <p>For Approvals Levels refer to page 18</p>	<p>Processes include: Annual Business Plan, Financial Regulations, Delegations and Procurement Regulations and the Constitution.</p>	<p>Examples include: Annual Review of Year, Year End Performance, Year End Financial Outturns, which feed into Annual Governance Statements, Annual Accounts, Annual Conversations, Grant Reporting Requirements.</p>

Initiation



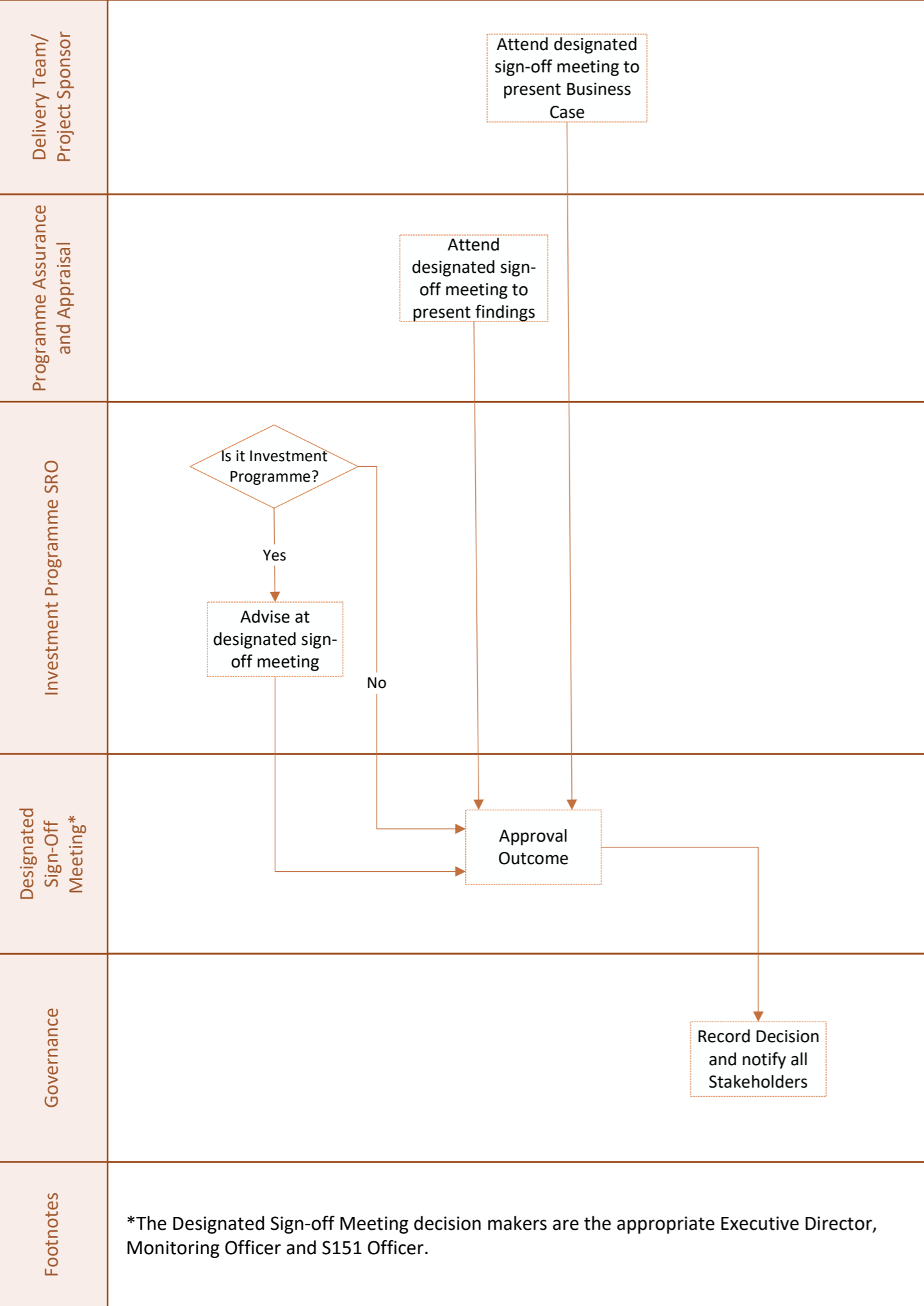
SAF Development Phase



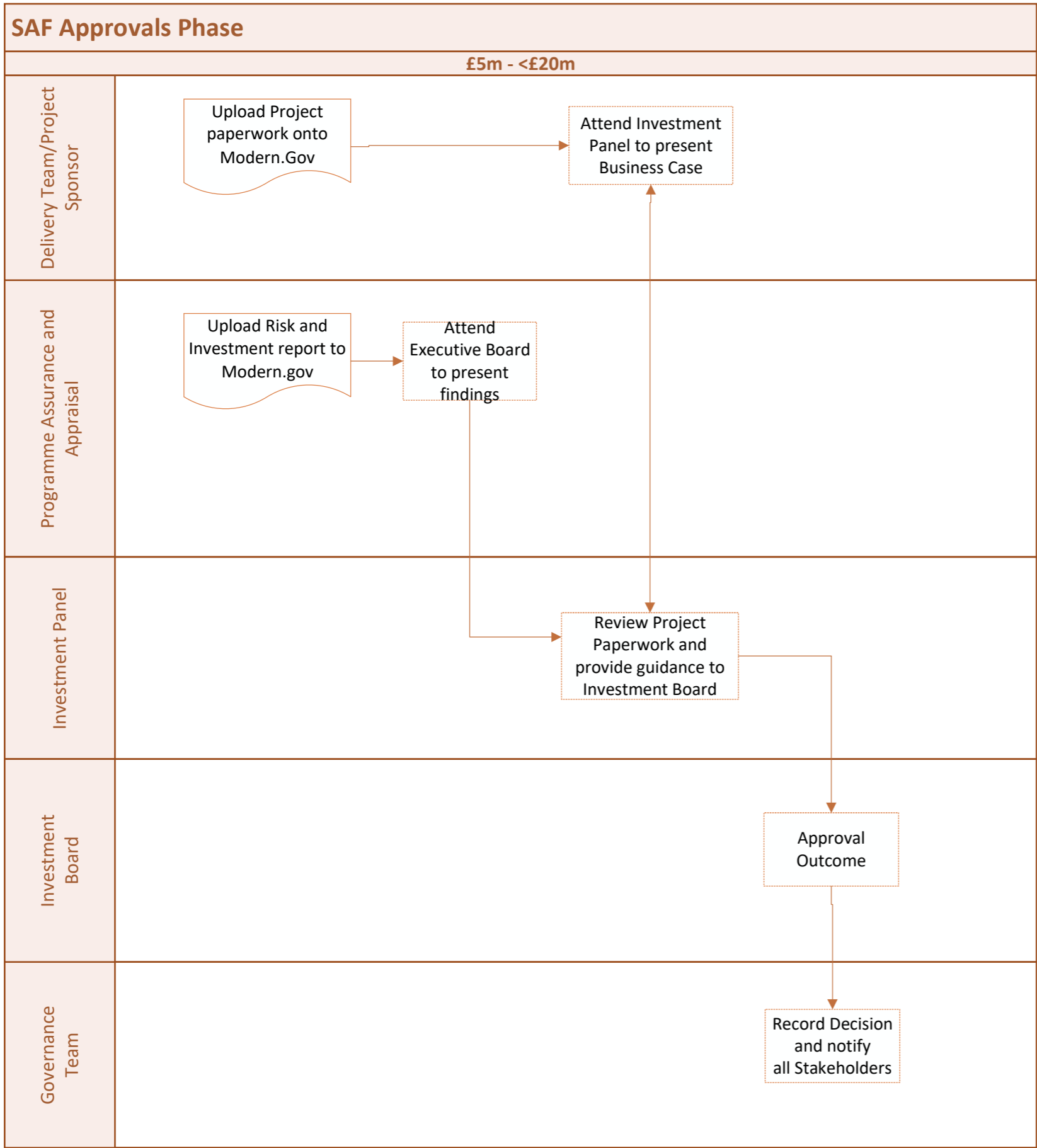


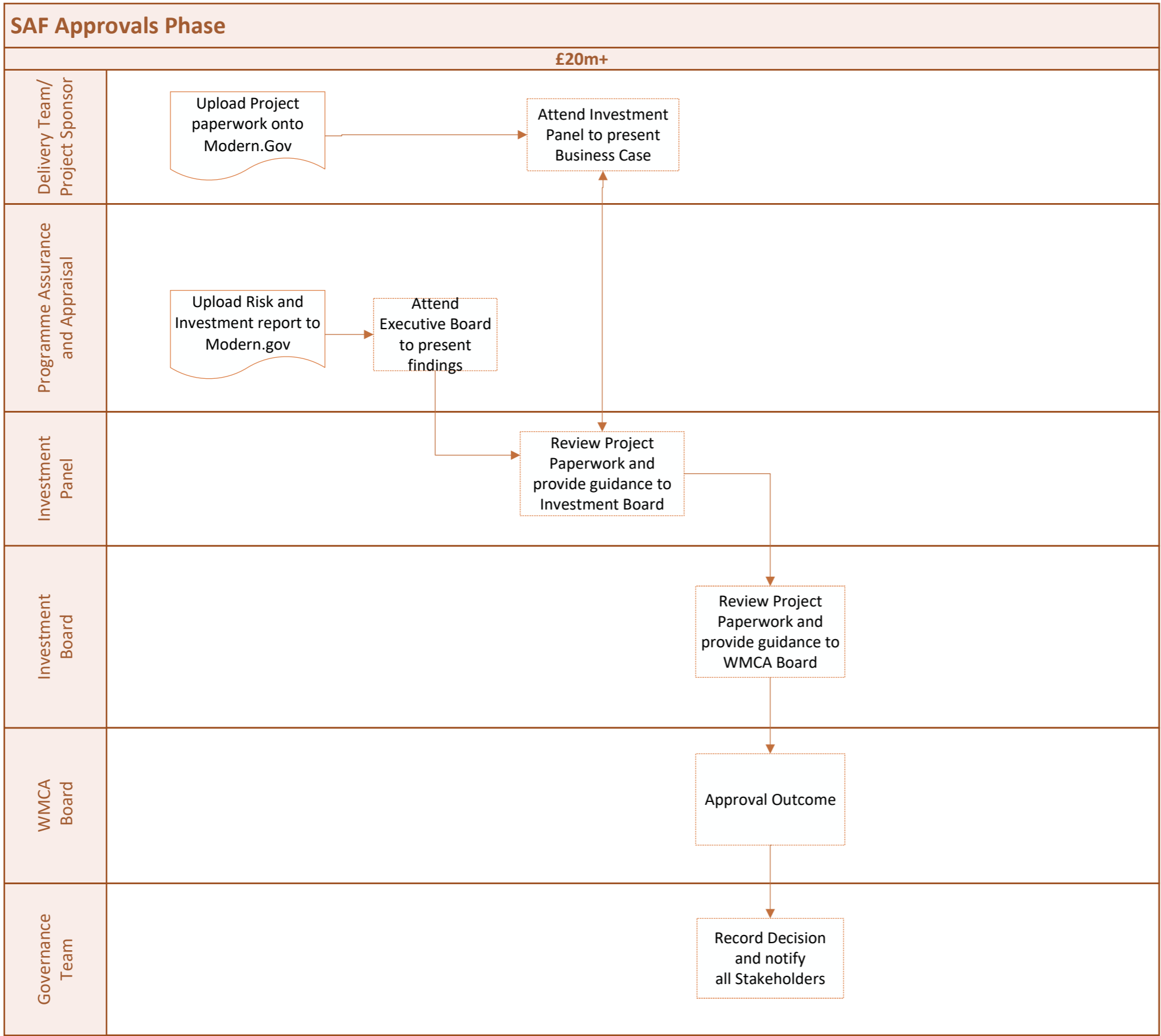
SAF Approvals Phase

£1m - <£5m



*The Designated Sign-off Meeting decision makers are the appropriate Executive Director, Monitoring Officer and S151 Officer.





SAF Approvals Phase				
	<£1m	£1m - <£5m	£5m - <£20m	£20m +
Programme Assurance and Appraisal	Assurance → Risk & Appraisal	Assurance → Risk & Appraisal	Assurance → Risk & Appraisal	
Executive Director	Executive Director Approval			
Designated sign-off Meeting		Approval at designated sign-off meeting*		
Investment Panel			Investment Panel Feedback	
Investment Board			Investment Board Approval	
WMCA Board			>£20m only → WMCA Board Approval	
Footnotes		*The Designated Sign-off Meeting decision makers are the appropriate Executive Director, Monitoring Officer and S151 Officer.		

WMCA Single Assurance Framework Change Control Process v2.1

